ICO7 Rec'd PCIPTO 15 MAR 2007

FORM PTO1390 OFFICE (REV 10-92)

U.S. DEPARTM F COMMERCE PATENT AND TRADEMARK Y'S DOCKET NO.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1999CH023 /088434

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE 22/09/2000

PRIORITY DATE CLAIMED 23/09/1999

PCT/IB00/01345

(22 September 2000)

(23 September 1999)

TITLE OF INVENTION: Lighfastness-Improvement Of Dyeings On Aluminium Oxide Layers

APPLICANT(S) FOR DO/EO/US: Georg SCHÖFBERGER and Günther TSCHEULIN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 U.S.C.

- X This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
 X The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CAL	CULATIONS		
	TOTAL CLAIMS	10 - 20 =	0	X \$18.00				
	INDEPENDENT CLAIMS	1 - 3=	0	X \$84.00				
	MULTIPLE DEPENDENT CLA							
	BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(4): X For filing with EPO or JP search report (37 CFR 1.492(a)(5) \$ 890.00					890.00		
	International Preliminary Examin							
	No international preliminary example 1.482) but international search							
	Neither international preliminary 1.482) nor international search		·					
	International preliminary examin 1.482) and all claims satisfied	ation fee paid to USPTO provisions of PCT Artic	(37 CFR les 33(2)-33(4)	7 CFR 33(2)-33(4) or oath or declaration later than _ 20 _				
	Surcharge of \$135.00 for fur 30 months from the earliest of	urcharge of \$135.00 for furnishing the National fee or oath or declaration later than _ 20 _ 0 months from the earliest claimed priority date (37 CFR 1.492(e)).						
			TOTAL OF ABOV CALCULATIONS	E	=	\$ 890.00		
			SUBTOTAL		+	\$ 890.00		
	Processing fee of \$135.00 fo months from the earliest clai							
		\$	890.00					
	Fee for recording the enclose	+	40.00					
		\$_	930.00					
						, ,		

- Please charge my Deposit Account No. 03-2060 in the amount of \$930 to cover the above fees. A duplicate X a. copy of this sheet is enclosed.
- The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment b. \mathbf{X} to Deposit Account No. 03-2060. A duplicate copy of this sheet is enclosed.

3.	<u>x</u>	A co	py of th	ne It ational Application as filed (35 USC 371(c)(2))				
		a.		is transmitted herewith (required only if not transmitted by the International Bureau) and English translation				
		Ъ.		is not required, as the application was filed in the US Receiving Office (RO/US)				
		c.	<u>x</u>	has been transmitted by the International Bureau.				
4.	<u>x</u>	A translation of the International Application into English (35 USC 371(c)(2)).						
5		Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).						
		a.		Are transmitted herewith (required only if not transmitted the International Bureau)				
		b.		Have been transmitted by the International Bureau.				
6.		A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).						
7.	<u>x</u>	An oath or declaration of the inventor (35 USC 371(c)(4)).						
8.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).						
Other d	docume	nt(s) or i	informa	ntion included:				
9.		An information Disclosure Statement under 37 CFR 1.97 and 1.98.						
10.	X	An assignment document for recording. Please mail the recorded assignment document to the person whose signature, name and address appears at the bottom of this page.						
10.1	<u>x</u>	A copy of the International Filing Receipt.						
10.2	<u>x</u>	A copy of the International Search Report.						
10.3	X	A copy of the International Preliminary Examination Report.						
10.4		A copy of Form PCT/IB/306 - Notification of the Recording of a Change						
10.5	<u>x</u>	Preliminary Amendment deleting multiple dependent claims.						
11.	X	The above checked items are being transmitted:						
		<u>X</u> by thirty (30) months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.						
12.		At the time of transmittal, the time limit for amending claims under Article 19:						
		a.	х	has expired and no amendments were made.				
		b.		has not yet expired.				
13.	Х	Article 34 Amendments prior to International Preliminary Examination Report						
14.		Certain requirements under 35 USC 371 were previously submitted by the applicant on, namely:						

Scott E. Hanf, Registration No. 38,906

Clariant Corporation 4331 Chesapeake Drive

Charlotte, North Carolina 28216

Telephone: 704/395-6712 Facsimile: 704/395-6727 Date: March 15, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

:Attn: Box PCT DO/EO/US

In re new U.S. patent application of

Georg SCHÖFBERGER, et al.

Serial No. To Be Assigned

Filed: March 15, 2002 For:

Lightfastness-Improvement of Dyeings of Aluminium Oxide Layers

Transmittal Letter Notification of Amendments Under PCT Article 34

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note that the attached pages 1, 13 and 14 were filed with the European Patent Office on October 25, 2001. We have enclosed these pages.

Applicant respectfully requests submission of these pages before examination of the application.

Respectfully submitted,

Scott E. Hanf, Registration No. 38,906

(CUSTOMER NUMBER 25,255)

Clariant Corporation Industrial Property Department 4331 Chesapeake Drive Charlotte, North Carolina 28216 Telephone: 704/395-6712

Facsimile:

704/395-6727

CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label No. ET825363405US

Date of Mailing: March 15, 2002

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Assistant Commissioner for Patents, Box PCT DO/EO/US, Washington, D.C. 20231, in accordance with 37 CFR 1.10.

Signature of Person Ma ling the Application Vicki L. Sgro

Typed Name of Person Mailing the Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label No. <u>ET825363405US</u>

ZU 204E3E28 T3

Date of Mailing: March 15, 2002

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

Inventors: Georg SCHÖFBERGER and Günther TSCHEULIN

For: Lighfastness-Improvement Of Dyeings On

Aluminium Oxide Layers

International Application No.: PCT/IB00/01345

International Filing Date: 22/09/2000 (22 September 2000)
Priority Filing Date: 23/09/1999 (23 September 1999)

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Assistant Commissioner for Patents, Attention: Box PCT (DO/EO/US), Washington, D.C. 20231 in accordance with 37 CFR 1.10.

Vicki L. Sgr